

Independent Audit Program

Locomotive Workshop SSD 8449

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1 Version Control

Application number: SSD 8449

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Report name: Locomotive Workshop Independent Audit Program

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Version 1	08/03/2019

2 Introduction

2.1 Independent Audit Program

An Independent Audit Program has been prepared for submission to the Department in accordance with the *Independent Audit Post Approval Requirements (Department 2018)*. The following Independent Audit Program has been prepared in accordance with the requirements set out in Section 2 of the document.

Program for the Conduct of Independent Environmental Audits for SSD 8449 Locomotive Workshops (Bays 5 – 15)

Audit Number	Audit Timing	Matters to Be Audited
1	To be completed within 20 weeks of the commencement of construction. Anticipate audit to be conducted September 2019 - November 2019 assuming commencement of construction in July 2019.	Audit 1 will: <ol style="list-style-type: none"> a) Assess the documentation prepared prior to commencement of construction to ensure the environmental performance of the development and to manage its effects on the surrounding environment, including the community; b) Assess whether the development is complying with the terms of the consent as they apply at the time of the audit; c) Assess the environmental performance of the development, including compliance with construction environmental management plans; and d) Recommend measures or actions to improve the environmental performance of the development, and improvements to relevant documents required under the consent. Conditions to be audited: <ul style="list-style-type: none"> • All Part A conditions of consent (Administrative Conditions); • All Part B conditions of consent (Prior to Issue of Construction Certificate); • All Part C conditions of consent (Prior to Commencement of Works); and • All Part D conditions of consent (During Construction) – noting that some Part D conditions may not have been triggered at the time of this audit. No Part E (Prior to Occupation or Commencement of Use) or Part F (Post Occupation) conditions of consent will be audited as none of these conditions will have been triggered at the time of this audit.

Audit Number	Audit Timing	Matters to Be Audited
2	To be completed within 52 weeks of the completion of Audit 1 (assuming construction phase >12 months). Anticipate audit to be conducted August 2020 – October 2020 assuming completion of Audit 1 in November 2019.	<p>Audit 2 will:</p> <ul style="list-style-type: none"> a) Assess the environmental performance of the development during construction and its effects on the surrounding environment including the community, including implementation of recommended actions from Audit 1; b) Assess whether the development is complying with the terms of the consent as they apply at the time of the audit; c) Review the adequacy of relevant documents required under the consent; and d) Recommend measures or actions to improve the environmental performance of the development, and improvements to relevant documents required under the consent. <p>Conditions to be audited:</p> <ul style="list-style-type: none"> • All Part A conditions of consent (Administrative Conditions); • Part B conditions of consent (Prior to Issue of Construction Certificate) if, at the time of Audit 1, they had not been triggered; were an ongoing requirement; or were not compliant and an audit recommendation made; • Part C conditions of consent (Prior to Commencement of Works) if, at the time of Audit 1, they had not been triggered; were an ongoing requirement; or were not compliant and an audit recommendation made; • All Part D conditions of consent (During Construction); and • All Part E conditions of consent (Prior to Occupation or Commencement of Use) – it is anticipated that some Part E conditions may have been triggered at the time of this audit. <p>No Part F (Post Occupation) conditions of consent will be audited as none of these conditions will have been triggered at the time of this audit.</p>
3	To be completed within 52 weeks of the commencement of operation. Anticipate audit to be conducted July 2021 – September 2021 assuming commencement of operation in December 2020.	<p>Audit 3 will primarily cover environmental performance and consent conditions relevant to Prior to Occupation/Commencement of Use (Part E) and to Post Occupation (Part F). It will also cover the During Construction period since Audit 2 and implementation of recommended actions from Audit 2.</p> <p>Conditions to be audited:</p> <ul style="list-style-type: none"> • All Part A conditions of consent (Administrative Conditions); • Part B conditions of consent (Prior to Issue of Construction Certificate); Part C conditions of consent (Prior to Commencement of Works); and Part D conditions of consent (During Construction) if, at the time of Audit 2, they had not been triggered; were an ongoing requirement; or were not compliant and an audit recommendation made; • All Part E conditions of consent (Prior to Occupation or Commencement of Use); and • All Part F conditions of consent (Post Occupation).
<p>Given the nature of the development, regularly scheduled audits will not be required after Audit 3 unless non-compliances with recommended actions are identified in Audit 3 (noting that the Secretary can direct a further audit to be conducted if a perceived need arises).</p>		